



STOCK SETTLEMENT INSTRUCTION FORM

股票交收指示

To 致: **M&F Asset Management Limited 漢豐資產管理有限公司** Date 日期: _____

Client Name 客戶姓名:	Account No. 帳戶號碼:
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Instruction Type 交收指示類別: **SI** or 或 **ISI**

Please 請: **RECEIVE** the following stocks to my account 將下列股票存入到本人上述戶口
 DELIVER the following stocks from my account 由本人上述戶口提取下列股票

And input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows 請將下列交收指示輸入中央結算及交收系統以便進行交收:

Stock Code 股票代號	Name of Stock 股票名稱	No. of Share 股數	DVP/ FOP	Amount 金額	Handling 手續費	Total Amount 總金額
Total 合共:						

Name and Participant ID of Counterpart:
轉倉對手名稱及中央結算編號: _____

Contact Person and Phone No.
對方聯絡人及聯絡電話: _____

Settlement Date:
交收日期: _____

Client's Name & A/C no. with Counterparty.:
客戶於對方之帳戶姓名和帳戶號碼: _____

Client's HKID or passport no.:
客戶之香港身分證或護照號碼: _____

Purpose 目的:

- | | | | |
|---|-----------|--|-------|
| <input type="checkbox"/> C = Broker-Custodian Transaction | 經紀與托管者之交易 | <input type="checkbox"/> P = Stock Pledging | 按揭 |
| <input type="checkbox"/> M = Portfolio Movement | 組合轉移 | <input type="checkbox"/> L = Stock Borrowing/Lending | 借股/貸股 |
| <input type="checkbox"/> I = Investor Intermediary | 投資者帳戶 | <input type="checkbox"/> R = Return Recall | 撤回交收 |

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI (if same name settlement instruction). I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction.
本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動(如果同名結算指令)。本人/吾等 願意賠償貴公司因執行本人/吾等指示所引致之任何損失。

Client's Signature 客戶簽署

For Official Use Only

Received by	Signature Verified by:	Input by:	Approved by:
Verified with client's Date/ Time/ Ext no. tape recording:		Staff name of counterparty broker/ Date/ Time:	Confirmed by: